

MODIFIED PTO/SB/08 A & B (06-03)

(use as many sheets as necessary)

Complete if Known

Application Number	10/626,597
Confirmation Number	Not Assigned
Filing Date	July 25, 2003
First Named Inventor	Henning BULOW
Art Unit	Not Assigned 2883
Examiner Name	Not Assigned Healy
Attorney Docket Number	Q76516

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
SWA		OFC 2002, Optical Fiber Communication Conference and Exhibit, March 17-22, 2002, Anaheim, California, TECHNICAL DIGEST	Yes
SWA		Koichi Takiguchi, "Planar Lightwave Circuit Dispersion Equalizer", JOURNAL OF LIGHTWAVE TECHNOLOGY, Vol. 14, No. 9, September 1996, pp. 2003-2011	Yes
SWA		USSN 10/715,557 filed November 19, 2003	Yes

Examiner Signature

Date Considered

9/23/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.

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Substitute for Form 1449 A & B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
		Application Number	Not Assigned		10/626,597
		Confirmation Number	Not Assigned		
		Filing Date	July 25, 2003		
		First Named Inventor	Henning BULOW		
		Art Unit	Not Assigned		2883
		Examiner Name	Not Assigned		Healy
		Attorney Docket Number	Q76516		
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
BWH		EP	0 997 751	A2	05-03-2000	Lucient Technolgoies Inc.	Yes

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
BWH		TAKIGUCHI K ET AL: "Dispersion Slope Equalizer for Dispersion Shifted Fiber Using a Lattice-Form Programmable Optical Filter on a Planar Lightwave Circuit" Journal of Lightwave Technology, IEEE. New York, US, vol. 16, no. 9, September 1, 1998, pages 1647-1656, XP000786638.	Yes
BWH		PATENT ABSTRACTS OF JAPAN vol. 018, no. 106 (P-1697), February 21, 1994 & JP 05 303019 A (Nippon Telegr & Teleph Corp), November 16, 1993.	Yes

Examiner Signature	B Healy	Date Considered	9/23/04
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